

# Internal Audit Annual Plan Monitoring Report - 2005/06

## Appendix A

As At 22 December 2005

	Base Plan	+/- Adjustments	+ WIP Bfwd	- WIP Cfwd	Current Plan	Days to Date	Days Remaining
<b>Category: Advice and Support</b>							
F001 Advice	80.0	20.0	0.0	0.0	100.0	84.3	15.7
K001 Support Work	20.0	48.0	0.0	0.0	68.0	47.9	20.1
<b>Totals for Category: Advice and Support</b>	<b>100.0</b>	<b>68.0</b>	<b>0.0</b>	<b>0.0</b>	<b>168.0</b>	<b>132.2</b>	<b>35.8</b>
<b>Category: Audit Planning and Monitoring</b>							
Y001 Audit Planning and Monitoring	30.0	-3.0	0.0	0.0	27.0	19.7	7.3
Y002 Committee Work	12.0	3.0	0.0	0.0	15.0	12.3	2.7
<b>Totals for Category: Audit Planning and Monitoring</b>	<b>42.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>42.0</b>	<b>32.0</b>	<b>10.0</b>
<b>Category: Computer Audits</b>							
D301 Information & Communications Technology Audit	40.0	-20.0	0.0	0.0	20.0	0.0	20.0
<b>Totals for Category: Computer Audits</b>	<b>40.0</b>	<b>-20.0</b>	<b>0.0</b>	<b>0.0</b>	<b>20.0</b>	<b>0.0</b>	<b>20.0</b>
<b>Category: Contingencies</b>							
S001 Investigations	35.0	3.0	0.0	0.0	38.0	31.4	6.6
S002 Provision for Follow Up Reviews	70.0	-10.0	0.0	0.0	60.0	59.4	0.6
S003 Provision for WIP Carried Forward	0.0	0.0	0.0	-45.0	-45.0	0.0	-45.0
S004 General Contingency	45.0	-45.0	0.0	0.0	0.0	0.0	0.0
<b>Totals for Category: Contingencies</b>	<b>150.0</b>	<b>-52.0</b>	<b>0.0</b>	<b>-45.0</b>	<b>53.0</b>	<b>90.8</b>	<b>-37.8</b>

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<b>Category: Major Financial Systems</b>								
A001	Housing Rents	15.0	0.0	0.0	0.0	15.0	2.9	12.1
D001	Sundry Debtors	15.0	3.0	0.0	0.0	18.0	14.9	3.1
D003	Housing Benefits & Council Tax Benefits	30.0	0.0	0.0	0.0	30.0	0.0	30.0
D006	Income Management	20.0	0.0	2.0	0.0	22.0	1.9	20.1
D009	Council Tax	15.0	0.0	0.0	0.0	15.0	10.7	4.3
D010	NNDR	18.0	5.0	2.0	0.0	25.0	24.3	0.7
D505	Creditor Payments	15.0	2.0	0.0	0.0	17.0	15.1	1.9
D509	Payroll	15.0	0.0	3.5	0.0	18.5	10.5	8.0
D514	Treasury Management	15.0	2.0	0.0	0.0	17.0	15.4	1.6
D518	Job Management Systems	15.0	0.0	0.0	0.0	15.0	0.0	15.0
D527	Main Accounting	20.0	0.0	0.0	0.0	20.0	2.5	17.5
D528	Asset Management	15.0	0.0	0.0	0.0	15.0	0.0	15.0
M008	Fraud & Corruption	20.0	0.0	1.0	0.0	21.0	1.1	19.9
<b>Totals for Category: Major Financial Systems</b>		<b>228.0</b>	<b>12.0</b>	<b>8.5</b>	<b>0.0</b>	<b>248.5</b>	<b>99.4</b>	<b>149.1</b>

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<b>Category: Management Arrangements</b>							
G301 Contract Management	10.0	0.0	0.0	0.0	10.0	8.2	1.8
M001 Best Value	20.0	1.5	0.0	0.0	21.5	21.5	0.0
M002 Financial Management	15.0	1.5	0.0	0.0	16.5	14.0	2.5
M007 Corporate Governance	50.0	0.0	0.0	0.0	50.0	32.1	17.9
M009 Health & Safety	15.0	5.0	0.0	0.0	20.0	14.5	5.5
M013 Performance Management	30.0	0.0	0.0	0.0	30.0	7.5	22.5
M015 Partnership Arrangements	20.0	0.0	0.0	0.0	20.0	0.0	20.0
M016 Legality Issues	15.0	0.0	0.0	0.0	15.0	3.5	11.5
M017 Electronic Government	0.0	0.0	14.0	0.0	14.0	14.1	-0.1
M018 Risk Management	45.0	0.0	0.0	0.0	45.0	33.5	11.5
M019 Procurement Strategies	40.0	12.5	0.0	0.0	52.5	38.7	13.8
M020 Civil Resilience	10.0	0.0	0.0	0.0	10.0	0.4	9.6
M021 Business Continuity Planning (Closed)	0.0	0.0	1.0	0.0	1.0	0.3	0.7
M022 Project Management	20.0	0.0	0.0	0.0	20.0	12.8	7.2
M023 Communication	15.0	0.0	0.0	0.0	15.0	5.2	9.8
P002 Personnel Management	0.0	0.0	0.0	0.0	0.0	0.0	0.0
P004 Diversity	15.0	14.0	0.0	0.0	29.0	28.8	0.2
<b>Totals for Category: Management Arrangements</b>	<b>320.0</b>	<b>34.5</b>	<b>15.0</b>	<b>0.0</b>	<b>369.5</b>	<b>235.3</b>	<b>134.2</b>
<b>Category: Non Audit Work</b>							
H001 Non Audit Duties	5.0	0.0	0.0	0.0	5.0	3.4	1.6
<b>Totals for Category: Non Audit Work</b>	<b>5.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>5.0</b>	<b>3.4</b>	<b>1.6</b>

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<b>Category: Operational Audits</b>								
A052	Renewal (Strategic Housing)	20.0	0.0	0.0	0.0	20.0	0.0	20.0
B051	Licensing	15.0	0.0	0.0	0.0	15.0	1.0	14.0
C004	Planning Applications & Development Control	0.0	0.0	1.5	0.0	1.5	1.4	0.1
C005	Contaminated Land	0.0	0.0	0.0	0.0	0.0	0.1	-0.1
C006	AONB	15.0	2.5	0.0	0.0	17.5	15.4	2.1
C201	Museums Partnership	0.0	0.0	6.5	0.0	6.5	3.2	3.3
C261	Regeneration & Business Development (inc SRB and EDZ)	15.0	0.0	0.0	0.0	15.0	5.4	9.6
D161	Facilities Management	0.0	0.0	13.5	0.0	13.5	10.9	2.6
D453	Markets	0.0	0.0	0.0	0.0	0.0	0.1	-0.1
M005	City Centre Development & Management	0.0	0.0	0.0	0.0	0.0	0.1	-0.1
<b>Totals for Category: Operational Audits</b>		<b>65.0</b>	<b>2.5</b>	<b>21.5</b>	<b>0.0</b>	<b>89.0</b>	<b>37.5</b>	<b>51.5</b>
<b>Category: Secondary Financial Systems</b>								
D517	Income Tax and National Insurance	10.0	5.0	0.0	0.0	15.0	3.3	11.7
<b>Totals for Category: Secondary Financial Systems</b>		<b>10.0</b>	<b>5.0</b>	<b>0.0</b>	<b>0.0</b>	<b>15.0</b>	<b>3.3</b>	<b>11.7</b>
<b>Grand Totals:</b>		<b>960.0</b>	<b>50.0</b>	<b>45.0</b>	<b>-45.0</b>	<b>1010.0</b>	<b>634.0</b>	<b>376.0</b>